

FORM PTO-1449 US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	Any. Docket No. 86721CEB Customer No. 01333	Serial No.
If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee	Applicant: Robert O. James, et al	
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)	Filing Date	Group

U.S. PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>HL</i>	5,217,804	06-08-1993	James et al.			11-06-1990
<i>HL</i>	5,252,441	10-12-1993	James et al.			11-06-1990
<i>HL</i>	5,985,173	11-16-1999	Gray et al.			11-18-1997
<i>HL</i>	6,441,077	08-27-2002	Border et al.			12-22-2000

FOREIGN PATENT DOCUMENTS

Examiner Initial*	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>HL</i>	97/10527	1997-03-20	WO			X	
<i>HL</i>	99/21934	1999-05-06	WO			X	

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

<i>HL</i>	Lucien Prod'homme, "A New Approach To The Thermal Change In The Refractive Index Of Glasses," Physics and Chemistry of Glasses, Vol. 1, No. 4, August 1960, pages 119-122
<i>HL</i>	C. Becker et al., "Optical And Thermomechanical Investigations On Thermoplastic Nanocomposites With Surface Modified Silica Nanoparticles," SPIE Conference on Organic-Inorganic Hybrid Materials for Photonics, San Diego, California, July 1998, SPIE Vol. 3469, pages 88-98
<i>HL</i>	B. Braune et al., "Tantalum Oxide Nanomers For Optical Applications," SPIE Conference on Organic-Inorganic Hybrid Materials for Photonics, San Diego, California, July 1998, SPIE Vol. 3469, pages 124-132
<i>HL</i>	Milton Kerker, "The Scattering Of Light," 1969 Academic Press

EXAMINER: <i>HTLe</i>	DATE CONSIDERED <i>Jan. 05</i>
<small>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>	